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TRAN	SMITTAL		Application Number	10/052,739			
	ORM		Filing Date	January 17, 2002			
F			First Named Inventor	Furuichi, Hiroaki			
(to be used for all corre	espondence after in	itial filing)	Group Art Unit				
			Examiner Name				
Total Number of Pages	n This Submission		Attorney Docket Numb	er 16869N-040800US			
		ENCLO	SURES (check all that app	ly)			
Fee Transmittal Fo	m .		ment Papers Application)	After Allowance Communicat Group			
Fee Attached		Drawing	g(s)	Appeal Communication to Bo Appeals and Interferences			
Amendment / Resp	onse	Licensi	ng-related Papers	Appeal Communication to Gr (Appeal Notice, Brief, Reply Brief			
After Final			Routing Slip (PTO/SB/69) companying Petition	Proprietary Information			
Affidavits/decla	ration(s)		to Convert to a onal Application	Status Letter			
Extension of Time I	Request		of Attorney, Revocation e of Correspondence Addres	Other Enclosure(s) (please identify below):			
Express Abandonm	ent Request	_	al Disclaimer	Declaration/Power of Attorney			
☐ Information Disclosure Statement			t for Refund	Copy Notice     Supplemental ADS			
		CD, Nu	mber of CD(s)	4. Return Postcard			
Certified Copy of Procument(s)	iority	Remar	1	er is authorized to charge any additional 20-1430.			
Response to Missin Incomplete Applica Response to Mi Parts under 37 1.52 or 1.53	ssing	Pursuant to February 25 above-ident	5, 2002, the enclosures liste	earts of Nonprovisional Application, date d on this sheet are to be made of record			
			PPLICANT, ATTORNE	, OR AGENT			
and	Townsend and Townsend and Crew LLP  Robert C. Colwell Reg. No. 27,431						
Signature	(brun (	a C Colull					
Date	April 25, 2002						
		CEF	RTIFICATE OF MAILING				

Burden Hour Statement: This form is estimated to take 0.2 hours to complete Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are recidired to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PA 3217439 v1

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## **COPY OF PAPERS ORIGINALLY FILED**

PTO/SB/17 (09-00)
Approved for use through 10/31/2002. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL For FY 2001					Complete if Known						
					Application Number 10/052,739						
						Filing Date		January 17, 2002			
MAY 0 7 2002					First N	lamed In	ventor	Furuichi, Hiroaki			
B		subject to	annual revision.	•	Exami	Examiner Name					
T.C.	40°				Group	Art Unit					
TOTAL AMO	HAT.				· · · · ·			1000	014.040000410		
TOTAL AMO	UNT OF PAY	MENT	(\$) 170		Attorney Docket No. 16869N-040800US						
	METHO	OD OF PA	YMENT					FEE C	ALCULATION (continued)		
1. 🖾			ereby authorized		3. ADE	DITIONAL	. FEES				
l "	indicated rec	es and cred	dit any over paym	ents to:	Large	Entity		Entity			
Deposit					Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid	
Account Number	20-1430	)			105	130	205	65	Surcharge - late filing fee or oath	130	
					127	50	227	25	Surcharge - late provisional filing fee or cover sheet.		
Deposit Account Townsend and Townsend and Crew LLP				P	139	130	139	130	Non-English specification		
Name					147	2,520	147	2,520	For filing a request for reexamination		
Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17				112	920*	112	920°	Requesting publication of SIR prior to Examiner action			
Applicant claims small entity status. See 37 CFR 1.27					113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action		
2. Payment Enclosed:				115	110	215	55	Extension for reply within first month			
☐ Check ☐ Credit card ☐ Money ☐ Other					116	400	216	200	Extension for reply within second month		
Order STEE ON ON A TON					117	920	217	460	Extension for reply within third month		
FEE CALCULATION  1. BASIC FILING FEE					118	1,440	218	720	Extension for reply within fourth month		
Large Entity					128	1,960	228	980	Extension for reply within fifth month		
	Fee Fee	Fee Des	cription		119	320	219	160	Notice of Appeal		
	Code (\$)			Fee Paid	120	320	220	160	Filing a brief in support of an appeal		
	201 370 206 165	Utility filio Design fi	•		121	280 1.510	138	140	Request for oral hearing Petition to institute a public use		
	207 255	Plant filin	-						proceeding	$\vdash$	
	208 370	Reissue	•		140	110	240	55	Petition to revive – unavoidable		
114 160	214 80	Provision	nal filing fee		141 142	1,280 1,280	241 242	640 640	Petition to revive – unintentional Utility issue fee (or reissue)	<del></del>	
SUBTOTAL (1) (\$)				143	460	242	230	Design issue fee			
				144	620	244	310	Plant issue fee			
2. EXTRA CLAIM FEES  Extra Fee from Fee				122	130	122	130	Petitions to the Commissioner			
Total Claims		Claims	below	Paid ≈	123	50	123	50	Petitions related to provisional applications		
Independent Claims	-3" =		×	=	126	180	126	180	Submission of Information Disclosure Stmt		
Multiple Dependent	!		×	=	581	40	581	40	Recording each patent assignment per property (times number of properties)	40	

102	84	202	42	independent	179	740	279	370	Request for Continued Examination (RCE)	i .	1	
104	280	204	140	Multiple depe	Multiple dependent claim, if not paid					,	<b></b>	l
109	84	209	42		** Reissue independent claims over original patent			169	900	Request for expedited examination of a design application		
110	18	210	9	** Reissue d over original	laims in excess of 20 and patent	Other fee (specify)						İ
SUBTOTAL (2) (\$)							commission cove note			zed to charge any additional fees to ount.		
						*Red	uced by B	asic Filin	ıg Fee I	Paid SUBTOTAL (3) (\$)170		
**or nur	nber previo	ously paid,	if greater;	For Reissues, s	ee above	<u> </u>						
SUBMITTED BY										Complete (if applicable)		

146

149

740

740

246

249

27,431

370

370

Filing a submission after final rejection

650-326-2400

April 25, 2002

For each additional invention to be

examined (37 CFR § 1.129(b))

(37 CFR § 1.129(a))

Telephone

Date

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Registration No. (Attorney/Agent)

Entity

**Fee Description** 

Claims in excess of 20

Independent claims in excess of 3

Fee

(\$)

9

42

Entity

Fee

(\$)

18

Name (Print/Type)

Signature

Large

Code

103

102

Fee

Small

Fee

203

202

Code

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COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

www.uspto.gov ATTORNEY DOCKET NUMBER

10/052,739

**EIGHTH FLOOR** 

TWO EMBARCADERO CENTER

**SAN FRANCISCO, CA 94111-3834** 

01/17/2002

Hiroaki Furuichi

16869N-040800US

Date Mailed: 02/25/2002

**CONFIRMATION NO. 3275 FORMALITIES LETTER** 

OC000000007528617\*

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

TOWNSEND AND TOWNSEND AND CREW, LLP

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/08/2002 SDENBOB1 00000057 201430 10052739

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